

A proof-theoretic account of classical principles of truth

Graham E. Leigh

University of Oxford

Numbers and Truth conference,
Göteborg, 19th October 2012

Outline

Framework

Consistency

Classical vs. intuitionistic logic

Non-trivial models of $A \rightarrow TA$

Proof theoretic strength

Truth over classical logic

Truth over intuitionistic logic

A surprising result?

Outline

Framework

Consistency

Classical vs. intuitionistic logic

Non-trivial models of $A \rightarrow TA$

Proof theoretic strength

Truth over classical logic

Truth over intuitionistic logic

A surprising result?

Framework

\mathcal{L}_T denotes the language of arithmetic expanded by a fresh predicate symbol T .

$T(A)$ represents “ A is true.”

PA_T is Peano arithmetic in the language \mathcal{L}_T ;

HA_T is Heyting arithmetic in \mathcal{L}_T .

Definition

$Base_T^i$ is the theory extending HA_T by the following axioms:

1. $\forall A \forall B (TA \wedge T(A \rightarrow B) \rightarrow TB)$.
2. $\forall x (valid^i(x) \rightarrow T(x))$.
3. TA , if A is the universal closure of an axiom of PRA.

$Base_T$ is $Base_T^i + \forall A T(A \vee \neg A) + LEM$.

Axioms and rules

Axiom Schemata

$$A \rightarrow TA$$

$$TA \rightarrow A$$

Axioms and rules

Axiom Schemata

$$A \rightarrow TA$$

$$TA \rightarrow A$$

Axioms

$$TA \rightarrow TTA$$

$$TTA \rightarrow TA$$

(Comp) $TA \vee T\neg A$

$\neg(TA \wedge T\neg A)$ (Cons)

Axioms and rules

Axiom Schemata

$$A \rightarrow \mathsf{T}A$$

$$\mathsf{T}A \rightarrow A$$

Axioms

$$\mathsf{T}A \rightarrow \mathsf{T}\mathsf{T}A$$

$$\mathsf{T}\mathsf{T}A \rightarrow \mathsf{T}A$$

(Comp) $\mathsf{T}A \vee \mathsf{T}\neg A$

(Cons) $\neg(\mathsf{T}A \wedge \mathsf{T}\neg A)$

(Comp(w)) $\neg\mathsf{T}A \rightarrow \mathsf{T}\neg A$

(\forall -Inf) $\forall x\mathsf{T}A(x) \rightarrow \mathsf{T}\forall xA(x)$

(\vee -Inf) $\mathsf{T}(A \vee B) \rightarrow \mathsf{T}A \vee \mathsf{T}B$

(\exists -Inf) $\mathsf{T}\exists xA(x) \rightarrow \exists x\mathsf{T}A(x)$

$$(\mathsf{T}A \rightarrow \mathsf{T}B) \rightarrow \mathsf{T}(A \rightarrow B)$$

Axioms and rules

Axiom Schemata

$$A \rightarrow \mathsf{T}A$$

$$\mathsf{T}A \rightarrow A$$

Axioms

$$\mathsf{T}A \rightarrow \mathsf{T}\mathsf{T}A$$

$$\mathsf{T}\mathsf{T}A \rightarrow \mathsf{T}A$$

$$\text{(Comp)} \quad \mathsf{T}A \vee \mathsf{T}\neg A$$

$$\neg(\mathsf{T}A \wedge \mathsf{T}\neg A) \quad \text{(Cons)}$$

$$\text{(Comp(w))} \quad \neg\mathsf{T}A \rightarrow \mathsf{T}\neg A$$

$$\forall x \mathsf{T}A(x) \rightarrow \mathsf{T}\forall x A(x) \quad (\forall\text{-Inf})$$

$$\text{(\vee-Inf)} \quad \mathsf{T}(A \vee B) \rightarrow \mathsf{T}A \vee \mathsf{T}B$$

$$\mathsf{T}\exists x A(x) \rightarrow \exists x \mathsf{T}A(x) \quad (\exists\text{-Inf})$$

$$(\mathsf{T}A \rightarrow \mathsf{T}B) \rightarrow \mathsf{T}(A \rightarrow B)$$

Rules of Inference

$$A/\mathsf{T}A$$

$$\neg A/\neg\mathsf{T}A$$

$$\mathsf{T}A/A$$

$$\neg\mathsf{T}A/\neg A$$

Axioms and rules

Axiom Schemata

$$A \rightarrow \mathsf{T}A$$

$$\mathsf{T}A \rightarrow A$$

Axioms

$$\mathsf{T}A \rightarrow \mathsf{T}\mathsf{T}A$$

$$\mathsf{T}\mathsf{T}A \rightarrow \mathsf{T}A$$

(Comp) $\mathsf{T}A \vee \mathsf{T}\neg A$

(Cons) $\neg(\mathsf{T}A \wedge \mathsf{T}\neg A)$

(Comp(w)) $\neg\mathsf{T}A \rightarrow \mathsf{T}\neg A$

(\forall -Inf) $\forall x\mathsf{T}A(x) \rightarrow \mathsf{T}\forall xA(x)$

(\vee -Inf) $\mathsf{T}(A \vee B) \rightarrow \mathsf{T}A \vee \mathsf{T}B$

(\exists -Inf) $\mathsf{T}\exists xA(x) \rightarrow \exists x\mathsf{T}A(x)$

$$(\mathsf{T}A \rightarrow \mathsf{T}B) \rightarrow \mathsf{T}(A \rightarrow B)$$

Rules of Inference

$$A/\mathsf{T}A$$

$$\neg A/\neg\mathsf{T}A$$

$$\mathsf{T}A/A$$

$$\neg\mathsf{T}A/\neg A$$

A “theory of truth” is a theory $\text{Base}_T^i + S$, or $\text{Base}_T + S$ where S is some subset of the above principles.

Outline

Framework

Consistency

Classical vs. intuitionistic logic

Non-trivial models of $A \rightarrow TA$

Proof theoretic strength

Truth over classical logic

Truth over intuitionistic logic

A surprising result?

Classical vs. intuitionistic logic

Theorem (Friedman & Sheard '87)

Of the fifteen principles on the previous slide, there are exactly nine maximal consistent subsets over Base_T .

Classical vs. intuitionistic logic

Theorem (Friedman & Sheard '87)

Of the fifteen principles on the previous side, there are exactly nine maximal consistent subsets over Base_T .

Over Base_T the following principles are equivalent.

T-Comp: $\top A \vee \top \neg A$

T-Comp(w): $\neg \top A \rightarrow \top \neg A$

\vee -Inf: $\top(A \vee B) \rightarrow \top A \vee \top B$

Over intuitionistic logic, each pair of the above can be separated.

Classical vs. intuitionistic logic

Theorem (Friedman & Sheard '87)

Of the fifteen principles on the previous side, there are exactly nine maximal consistent subsets over Base_T .

Over Base_T the following principles are equivalent.

T-Comp: $\text{T}A \vee \text{T}\neg A$

T-Comp(w): $\neg \text{T}A \rightarrow \text{T}\neg A$

\vee -Inf: $\text{T}(A \vee B) \rightarrow \text{T}A \vee \text{T}B$

Over intuitionistic logic, each pair of the above can be separated. Still:

Theorem (L & Rathjen '12)

Over Base_T^i there are exactly nine maximal consistent sets.

Non-trivial models of $A \rightarrow TA$

The pair of principles $A \rightarrow TA$ and TA/A are inconsistent over Base_T .

Theorem

The following collection of axioms is consistent with Base_T^i .

- | | |
|--|--|
| 1) $A \rightarrow TA$ | 4) TA/A |
| 2) $T(A \vee B) \rightarrow TA \vee TB$ | 5) $(TA \rightarrow TB) \rightarrow T(A \rightarrow B)$ |
| 3) $\forall xT[A(\dot{x})] \rightarrow T[\forall xA(x)]$ | 6) $T[\exists xA(x)] \rightarrow \exists xT[A(\dot{x})]$ |

Non-trivial models of $A \rightarrow TA$

The pair of principles $A \rightarrow TA$ and TA/A are inconsistent over Base_T .

Theorem

The following collection of axioms is consistent with Base_T^i .

- 1) $A \rightarrow TA$
- 2) $T(A \vee B) \rightarrow TA \vee TB$
- 3) $\forall xT[A(\dot{x})] \rightarrow T[\forall xA(x)]$
- 4) TA/A
- 5) $(TA \rightarrow TB) \rightarrow T(A \rightarrow B)$
- 6) $T[\exists xA(x)] \rightarrow \exists xT[A(\dot{x})]$

Proof. Apply the rule-of-revision with intuitionistic Kripke models. Define a hierarchy of models such that $\mathfrak{A}_0 \models \forall A TA$ and

$$(\mathfrak{A}_{n+1} \models TA \Leftrightarrow \mathfrak{A}_n \models A) \quad \text{and} \quad (\mathfrak{A}_{n+1} \models A \Rightarrow \mathfrak{A}_n \models A).$$

Then $\text{Base}_T^i + 1-6 \vdash A$ implies $\mathfrak{A}_n \models A$ for every n .

Outline

Framework

Consistency

Classical vs. intuitionistic logic

Non-trivial models of $A \rightarrow TA$

Proof theoretic strength

Truth over classical logic

Truth over intuitionistic logic

A surprising result?

Truth over classical logic

Over Base_T , a proof-theoretic analysis of the maximal consistent theories yields:

Maximal consistent set

$(A \rightarrow TA) + \forall\text{-Inf} + \dots$

Cons + Comp + $(TA \rightarrow TTA) + \forall\text{-Inf} + \dots$

Cons + Comp + $(TTA \rightarrow TA) + \forall\text{-Inf} + \dots$

$(TA/A) + (A/TA) + \text{Cons} + \text{Comp} + \forall\text{-Inf} + \dots$

$(TA/A) + (A/TA) + (TA \rightarrow TTA) + \forall\text{-Inf} + \dots$

$(TA/A) + (TA \rightarrow TTA) + (TTA \rightarrow TA) + \forall\text{-Inf} + \dots$

$(TA/A) + (A/TA) + (TTA \rightarrow TA) + \forall\text{-Inf} + \dots$

$(TA \rightarrow A) + \forall\text{-Inf} + \dots;$

(Cantini '90; Halbach '94; L & Rathjen '10)

Equivalent theories

PA

ACA; PA + TI($<\epsilon_{\epsilon_0}$)

ACA; PA + TI($<\epsilon_{\epsilon_0}$)

$\text{ACA}_0^+; \text{RA}_{<\omega}$

$\text{ACA}_0^+; \text{RA}_{<\omega}$

$\text{ACA}_0^+; \text{RA}_{<\omega}$

$\Sigma_1^1\text{-DC}_0; \text{ID}_1^*;$
PA + TI($<\varphi_{\omega 0}$)

$\text{ID}_1; \text{KP}\omega$

Truth over intuitionistic logic

Over Base_T , a proof-theoretic analysis of the maximal consistent theories yields:

Maximal consistent set

$(A \rightarrow TA) + \forall\text{-Inf} + \dots$

Cons + Comp + $(TA \rightarrow TTA) + \forall\text{-Inf} + \dots$

Cons + Comp + $(TTA \rightarrow TA) + \forall\text{-Inf} + \dots$

$(TA/A) + (A/TA) + \text{Cons} + \text{Comp} + \forall\text{-Inf} + \dots$

$(TA/A) + (A/TA) + (TA \rightarrow TTA) + (A \rightarrow TA) + \dots$

$(TA/A) + (TA \rightarrow TTA) + (TTA \rightarrow TA) + \forall\text{-Inf} + \dots$

$(TA/A) + (A/TA) + (TTA \rightarrow TA) + \forall\text{-Inf} + \dots$

$(TA \rightarrow A) + \forall\text{-Inf} + \dots;$

(L'12)

Equivalent theories

HA

ACA; PA + $\text{TI}(<\epsilon_{\epsilon_0})$

ACA; PA + $\text{TI}(<\epsilon_{\epsilon_0})$

ACA_0^+ ; $\text{RA}_{<\omega}$

ACA_0^{i+} ; $\text{RA}_{<\omega}^i$

ACA_0^{i+} ; $\text{RA}_{<\omega}^i$

$\Sigma_1^1\text{-DC}_0^i$; ID_1^{i*}

HA + $\text{TI}(<\varphi_{\omega 0})$

ID_1^i ; $\text{KP}\omega^i$

A surprising result?

Consider the theory

$$\mathcal{F}^i: \text{Base}_T^i + (TA/A) + (A/TA) + (TTA \rightarrow TA) + \forall\text{-Inf} + \\ + \text{Comp}(w) + \exists\text{-Inf} + \vee\text{-Inf}.$$

A surprising result?

Consider the theory

$$\mathcal{F}^i: \text{Base}_T^i + (\text{TA}/A) + (A/\text{TA}) + (\text{TTA} \rightarrow \text{TA}) + \forall\text{-Inf} + \\ + \text{Comp}(w) + \exists\text{-Inf} + \vee\text{-Inf}.$$

Let

$$\text{Th}_0 = \text{Base}_T^i + (\text{TTA} \rightarrow \text{TA}) + \forall\text{-Inf} + \dots$$

$$\text{Th}_{m+1} = \text{Base}_T^i + (\text{TTA} \rightarrow \text{TA}) + \forall\text{-Inf} + \dots + \{\text{TA} \mid \text{Th}_m \vdash A\}.$$

Then

1. Th_m has the disjunction and existence property for each m ;
2. $\text{Th}_m \vdash_\omega \text{TA}$ implies $\text{Th}_m \vdash_\omega A$.

So

$$\mathcal{F}^i \vdash \text{TA} \quad \Rightarrow \quad \exists m: \text{Th}_m \vdash_\omega A,$$

suggesting $\mathcal{F}^i \hookrightarrow \text{ID}_1^{*i}$.

A surprising result?

How do we formally prove $\text{Th}_m \vdash_\omega TA \Rightarrow \text{Th}_m \vdash_\omega A$?

A surprising result?

How do we formally prove $\text{Th}_m \vdash_\omega \text{TA} \Rightarrow \text{Th}_m \vdash_\omega A$?

1. Express derivability in Th_m by a Gentzen-style sequent calculus: $\Gamma \Rightarrow_\alpha^m A$.

A surprising result?

How do we formally prove $\text{Th}_m \vdash_\omega \top A \Rightarrow \text{Th}_m \vdash_\omega A$?

1. Express derivability in Th_m by a Gentzen-style sequent calculus: $\Gamma \Rightarrow_\alpha^m A$.
2. Aim to prove that if $\emptyset \Rightarrow_\alpha^m \top A \top$ then $\emptyset \Rightarrow_{f_m(\alpha)}^m A$.

A surprising result?

How do we formally prove $\text{Th}_m \vdash_\omega \text{TA} \Rightarrow \text{Th}_m \vdash_\omega A$?

1. Express derivability in Th_m by a Gentzen-style sequent calculus: $\Gamma \Rightarrow_\alpha^m A$.
2. Aim to prove that if $\emptyset \Rightarrow_\alpha^m \text{T}^\top A^\top$ then $\emptyset \Rightarrow_{f_m(\alpha)}^m A$.
3. For ordinals α, β , define $A^{(\alpha, \beta)}$ by:
 $\text{T}(s)^{(\alpha, \beta)}$ iff $\emptyset \Rightarrow_{\varphi_m \beta}^m s$;
 $(A \wedge B)^{(\alpha, \beta)}$ iff $A^{(\alpha, \beta)}$ and $B^{(\alpha, \beta)}$, ...,
 $(A \rightarrow B)^{(\alpha, \beta)}$ iff $A^{(\beta, \alpha)}$ implies $B^{(\alpha, \beta)}$.

A surprising result?

How do we formally prove $\text{Th}_m \vdash_\omega \text{TA} \Rightarrow \text{Th}_m \vdash_\omega A$?

1. Express derivability in Th_m by a Gentzen-style sequent calculus: $\Gamma \Rightarrow_\alpha^m A$.
2. Aim to prove that if $\emptyset \Rightarrow_\alpha^m \text{T}^\top A^\top$ then $\emptyset \Rightarrow_{f_m(\alpha)}^m A$.
3. For ordinals α, β , define $A^{(\alpha, \beta)}$ by:
 $\text{T}(s)^{(\alpha, \beta)}$ iff $\emptyset \Rightarrow_{\varphi_m \beta}^m s$;
 $(A \wedge B)^{(\alpha, \beta)}$ iff $A^{(\alpha, \beta)}$ and $B^{(\alpha, \beta)}$, ...,
 $(A \rightarrow B)^{(\alpha, \beta)}$ iff $A^{(\beta, \alpha)}$ implies $B^{(\alpha, \beta)}$.
4. Soundness of m -derivability:
If $\Gamma \Rightarrow_\alpha^m A$ then $(\bigwedge \Gamma \rightarrow A)^{(\gamma, \gamma + \omega^\alpha)}$ for every γ .

A surprising result?

How do we formally prove $\text{Th}_m \vdash_\omega \text{TA} \Rightarrow \text{Th}_m \vdash_\omega A$?

1. Express derivability in Th_m by a Gentzen-style sequent calculus: $\Gamma \Rightarrow_\alpha^m A$.
2. Aim to prove that if $\emptyset \Rightarrow_\alpha^m \text{T}^\Gamma A^\neg$ then $\emptyset \Rightarrow_{f_m(\alpha)}^m A$.
3. For ordinals α, β , define $A^{(\alpha, \beta)}$ by:
 $\text{T}(s)^{(\alpha, \beta)}$ iff $\emptyset \Rightarrow_{\varphi_m \beta}^m s$;
 $(A \wedge B)^{(\alpha, \beta)}$ iff $A^{(\alpha, \beta)}$ and $B^{(\alpha, \beta)}$, ...,
 $(A \rightarrow B)^{(\alpha, \beta)}$ iff $A^{(\beta, \alpha)}$ implies $B^{(\alpha, \beta)}$.
4. Soundness of m -derivability:

If $\Gamma \Rightarrow_\alpha^m A$ then $(\bigwedge \Gamma \rightarrow A)^{(\gamma, \gamma + \omega^\alpha)}$ for every γ .

Proposition 1. If $\mathcal{F}^i \vdash_m A$ then $\emptyset \Rightarrow_{\varphi_{m+1} 0}^m A$.

Proposition 2. If $\mathcal{F}^i \vdash_m A \in \mathcal{L}$ then $\text{HA} + \text{TI}(< \varphi_{m+2} 0) \vdash A$.