

# Transplendent models

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# Preliminaries

- All models will be (expansions of) models of PA.
- All languages  $\mathcal{L}$  will be recursive extensions of the language of arithmetic,  $\mathcal{L}_A$ .
- The standard system of  $M$ ,  $\text{SSy}(M)$ , is the collection of standard parts of (parameter)  $\mathcal{L}_A$ -definable sets in  $M$ .

$$\text{SSy}(M) = \{ X \cap \mathbb{N} \mid X \in \text{Def}(M') \},$$

where  $M'$  is the  $\mathcal{L}_A$ -reduct of  $M$ .

# Recursive saturation

- A type over  $M$  is a set of formulas with finitely many parameters  $\bar{a}$  from  $M$  and finitely many free variables  $\bar{x}$  consistent with  $\text{Th}(M, \bar{a})$ .
- $M$  is recursively saturated if all recursive types over  $M$  are realized in  $M$ .
- $M$  is recursively saturated iff all types in  $\text{SSy}(M)$  are realized in  $M$ .
- There is a  $\Sigma_1^1$ -sentence  $\Theta$  such that a model is recursively saturated iff it satisfies  $\Theta$ .
- $\Theta$  says that  $M$ -logic is consistent.

# Resplendent models

- $M$  is resplendent if for any theory  $T$  in an expanded language  $\mathcal{L} \supseteq \mathcal{L}_A \cup \{\bar{a}\}$  such that  $T + \text{Th}(M, \bar{a})$  is consistent there is an expansion of  $M$  satisfying  $T$ .
- All resplendent models are recursively saturated.
- All countable recursively saturated models are resplendent.
- There is a  $\Delta_2^1$  sentence  $\Theta$  such that a model is resplendent iff it satisfies  $\Theta$ .
- $\Theta$  says that  $M$ -logic is consistent and that for every (non-standard) sentence  $\varphi$  consistent in  $M$ -logic there is a satisfaction class including  $\varphi$ .

# Subresplendent models

- $M$  is subresplendent if for any theory  $T$  in an expanded language  $\mathcal{L} \supseteq \mathcal{L}_A \cup \{\bar{a}\}$  such that  $T + \text{Th}(M, \bar{a})$  is consistent there are an elementary submodel  $\bar{a} \in N$  of  $M$  and an expansion of  $N$  satisfying  $T$ .
- A model is subresplendent iff it is recursively saturated.

# Arithmetic saturation

- $M$  is arithmetically saturated if for any type arithmetic in some  $\text{Th}(M, \bar{a})$ ,  $\bar{a} \in M$ , is realized in  $M$ .
- $M$  is arithmetically saturated iff  $M$  is recursively saturated and  $\text{SSy}(M)$  is closed under arithmetic comprehension.
- A countable recursively saturated model  $M$  is arithmetically saturated iff there is a maximal automorphism, i.e. an automorphism  $f$  such that

$$\text{fix}(f) = \{ a \in M \mid f(a) = a \} = \text{Scl}_M(\emptyset).$$

# Omitting types

- $p^\uparrow$  is the  $\mathcal{L}_{\omega_1\omega}$ -sentence saying that  $p$  is omitted, i.e.,

$$\forall \bar{x} \bigvee_{\psi(\bar{x}) \in p(\bar{x})} \neg \psi(\bar{x})$$

- $p(x)$  is isolated in  $T$  if there is  $\varphi(x)$  such that  $T + \exists x \varphi(x)$  is consistent and  $T \models \forall x (\varphi(x) \rightarrow \psi(x))$  for all  $\psi(x) \in p(x)$ .
- Omitting Types Theorem: If  $p(x)$  is not isolated in  $T$  then  $T + p^\uparrow$  is consistent.

## Omitting types, two examples

- If  $M$  is countable and recursively saturated and  $p(x)$  is a type which is not isolated in any  $T + \text{Th}(M, \bar{a}, \bar{b})$  where  $\bar{b} \in M$  (and these theories are consistent) then there is an expansion of  $M$  satisfying  $T + p\uparrow$ .
- Maximal automorphism: Let  $T_f$  say that  $f$  is an automorphism and
 
$$p_f(x) = \{f(x) = x\} \cup \{x \neq t \mid t \text{ is a Skolem term}\}.$$
- $f$  is a maximal automorphism of  $M$  iff  $(M, f) \models T_f + p_f\uparrow$ .
- $p_f$  is isolated in  $\text{Th}(M, a)$ , where  $a \notin \text{Scl}_M(\emptyset)$ .
- Standard cut: Let  $T_K = \{K(n) \mid n \in \mathbb{N}\}$  and
 
$$p_K(x) = \{K(x)\} \cup \{x > n \mid n \in \mathbb{N}\}.$$
- $K$  is the standard cut of  $M$  iff  $(M, K) \models T_K + p_K\uparrow$ .
- $p_K$  is isolated in  $\text{Th}(M, a)$ , where  $a$  is non-standard.



# Definition

- $T$  and  $p(x)$  are a theory and a type in an extended language  $\mathcal{L}$  with finitely many parameters  $\bar{a}$  from  $M$ .  $T_0$  a  $\mathcal{L}_A$  theory.
- $T + p\uparrow$  is fully consistent over  $T_0$  if there is a model of  $T_0 + T + p\uparrow$  with standard system  $\mathcal{P}(\mathbb{N})$  whose  $\mathcal{L}_A$ -reduct is recursively saturated.
- $T + p\uparrow$  is fully consistent over  $M$  if it is fully consistent over  $\text{Th}(M, \bar{a})$ .
- Let  $M \models \text{PA}$ . We say that  $M$  is **almost** transplendent if for all  $T, p(\bar{x}) \in \text{SSy}(M)$  such that  $T + p\uparrow$  is fully consistent over  $M$ , there is an expansion  $M^+$  of  $(M, \bar{a})$  such that  $M^+ \models T + p\uparrow$  and  $\text{Th}(M^+, \bar{a}) + p\uparrow$  is fully consistent over  $M$ . ~~and  $\text{Th}(M^+, \bar{a}) + p\uparrow$  is fully consistent over  $M$ .~~

# Existence

## Theorem

*There is a transplendent model of cardinality  $2^{\aleph_0}$ .*

## Definition

A Scott set  $\mathcal{X}$  is *closed* if for any  $T_0, T, p \in \mathcal{X}$  such that  $T + p \uparrow$  is fully consistent over  $T_0$  there is a completion  $T_c \in \mathcal{X}$  of  $T$  such that  $T_c + p \uparrow$  is fully consistent over  $T_0$ .

## Theorem

*Any countable recursively saturated model with a closed standard system is transplendent.*

# Closure is equivalent to transplendence

Given  $T, p \in \text{SSy}(M)$  such that  $T + p\uparrow$  is fully consistent over  $T_0$  then the theory

$$T + p\uparrow + \text{'c codes the theory of the model'}$$

is fully consistent over  $T_0$ .

## Theorem

*Let  $M$  be a countable model of PA.  $M$  is transplendent iff  $M$  is recursively saturated and  $\text{SSy}(M)$  is closed.*

# The standard predicate

- Let  $T_{K=\mathbb{N}}$  be the theory consisting of all  $K(n)$ ,  $n \in \mathbb{N}$  together with  $p \uparrow$  where  $p(x) = \{K(x)\} \cup \{x > n \mid n \in \mathbb{N}\}$ .
- $(M, K) \models T_{K=\mathbb{N}}$  iff  $K = \mathbb{N}$ .
- Clearly  $T_{K=\mathbb{N}}$  is fully consistent over any  $T_0$ .

# Second order arithmetic vs. the standard predicate

Given a second order  $\mathcal{L}_A$ -sentence  $\Theta$  we translate it into a first order  $\mathcal{L}_A(K)$ -sentence  $\Theta^K$ :

- $(t \in X)^K$  becomes  $(x)_t \neq 0$
- $(\exists x \Psi)^K$  becomes  $\exists x (K(x) \wedge \Psi^K)$
- $(\exists X \Psi)^K$  becomes  $\exists x \Psi^K$

## Theorem

$(M, \mathbb{N}) \models \Theta^K(\bar{a})$  iff  $\text{SSy}(M) \models \Theta(\bar{A})$ , where  $\bar{a}$  codes the sets  $\bar{A}$ .

# Implications of transplendence

If  $\mathcal{P}(\mathbb{N}) \models \Theta(\bar{A})$  then  $T_{K=\mathbb{N}} + \Theta^k(\bar{a})$  is fully consistent over any  $T_0$ .

## Theorem

*If  $M$  is transplendent then  $SSy(M) \prec \mathcal{P}(\mathbb{N})$ .*

Given  $A \in SSy(M)$  then  $T_{K=\mathbb{N}} + 'c \text{ codes } Th(\mathcal{P}(\mathbb{N}), A)'$  is fully consistent over any  $T_0$ .

## Theorem

*If  $M$  is transplendent then  $Th(\mathcal{P}(\mathbb{N}), A) \in SSy(M)$  for every  $A \in SSy(M)$ .*

## Some basis theorems

- $\Gamma \subseteq \mathcal{P}(\mathbb{N})$  is a basis for  $\Delta \subseteq \mathcal{P}(\mathcal{P}(\mathbb{N}))$  if  $\forall X \in \Delta \ X \cap \Gamma \neq \emptyset$ .
- $V = L$  implies that  $\Delta_k^1$  is a basis for  $\Sigma_k^1$  for all  $k \geq 2$ .
- PD implies that  $\Delta_k^1$  is a basis for  $\Sigma_k^1$  for all even  $k \geq 2$ .
- If  $V = L$  or PD then  $\Sigma_\infty^1$  is a basis for  $\Sigma_\infty^1$ .

### Theorem

If  $V = L$  or PD then if  $\text{Th}(\mathcal{P}(\mathbb{N}), A) \in \mathcal{X}$  for every  $A \in \mathcal{X}$  then  $\mathcal{X} \prec \mathcal{P}(\mathbb{N})$ .

Is this true in general?

## Weakening 'fully consistent'

Replacing 'fully consistent' with 'consistent' in the definition of transplendent models will not work: Let  $p(x)$  be some type realized in  $M$ .

### Theorem

*There are recursive  $T, p$  such that*

- *For any type  $q$  over  $PA$  there is a model of  $PA + T + q\downarrow + p\uparrow$ .*
- *No recursively saturated model of  $PA$  has an expansion satisfying  $T + p\uparrow$ .*

$T + p\uparrow$  is

$T_{K=\mathbb{N}} + \text{'}\Sigma \text{ is a truth predicate'} + \text{'there is an omitted coded type'}$ .



# Subtransplendent models

What can be done if ‘fully consistent’ is weakened to ‘consistent’?

## Definition

We say that  $M$  is *subtransplendent* if for all  $T, p(\bar{x}) \in \text{SSy}(M)$  such that  $T + p\uparrow + \text{Th}(M, \bar{a})$  is consistent there are an elementary submodel  $(N, \bar{a}) \prec (M, \bar{a})$  and an expansion  $N^+ \models T + p\uparrow$  of  $(N, \bar{a})$ .

## Theorem

$M$  is subtransplendent iff  $M$  is recursively saturated and for every  $T, p \in \text{SSy}(M)$  such that  $T + p\uparrow + \text{Th}(M, \bar{a})$  is consistent there is a completion  $T_c \in \text{SSy}(M)$  of  $T$  making  $T_c + p\uparrow + \text{Th}(M, \bar{a})$  consistent.

# $\beta$ -models

## Definition

$\mathcal{X} \subseteq \mathcal{P}(\mathbb{N})$  is a  $\beta$ -model if  $\mathcal{X} \prec_{\Sigma_1^1} \mathcal{P}(\mathbb{N})$ .

## Theorem

$M$  is subtransplendent iff  $M$  is recursively saturated and  $SSy(M)$  is a  $\beta$ -model.

Transplendence implies subtransplendence.

# The logic of omitting a type

- A Scott set  $\mathcal{X}$  is a  $\beta$ -model iff for every  $T_0, T, p \in \mathcal{X}$  such that  $T + p\uparrow + T_0$  is consistent there is a completion  $T_c \in \mathcal{X}$  of  $T$  making  $T_c + p\uparrow + T_0$  consistent.
- For every hyperarithmetic  $T$  and  $p$  the height of a (minimal) proof in the logic of omitting a type (a variant on  $\omega$ -logic) is at most  $\omega_1^{\text{CK}}$ .
- There are hyperarithmetic  $T$  and  $p$  such that the supremum of the heights of (minimal) proofs is  $\omega_1^{\text{CK}}$ .
- The supremum of all heights of (minimal) proofs over all recursive  $T, p$  is at least  $\epsilon_0$ .

# Open questions

- Is it possible to replace fully consistency with something weaker?
- Almost transplendence implies transplendence?
- Nicer characterization of the standard systems of transplendent models.
- What's the complexity of the notion of transplendence/subtransplendence?
- Is there a satisfaction class type property such that  $M$  is transplendent iff there is such a satisfaction class?