

ON LOGICALITY

OSLO-GÖTEBORG WORKSHOP

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LOGICALITY AND VALIDITY

Logic considers the **form** of sentences and arguments. To determine this form we need to know what the **logical constants** are.

Which of the symbols/expressions should be considered **logical**?

Once a demarcation is made Bolzano's analysis of logical consequence makes sense:

BOLZANO
An argument is **logically valid** if no reinterpretation/substitution of its non-logical expressions makes the premises true and the conclusion false.

QUANTIFIERS

DEFINITION LINDSTRÖM (1966); MOSTOWSKI (1957)

- ▶ A (global) **generalized quantifier** Q of type $\langle n_1, \dots, n_k \rangle$ is a (class) of structures in the language $\{ R_1, \dots, R_k \}$ where R_i is of arity n_i .
- ▶ $M \models_s Q \bar{x}_1, \dots, \bar{x}_k (\varphi_1, \dots, \varphi_k)$ iff $(M, \varphi_1^{M,s}, \dots, \varphi_k^{M,s}) \in Q$.

Examples:

- ▶ $\exists = \{ (M, A) \mid A \subseteq M, A \neq \emptyset \}$
- ▶ $\forall = \{ (M, M) \mid \top \}$
- ▶ $Q_0 = \{ (M, A) \mid A \subseteq M, |A| \geq \aleph_0 \}$
- ▶ $I = \{ (M, A, B) \mid |A| = |B| \}$

A quantifier Q is **definable in the logic** \mathcal{L} if there is φ of $\mathcal{L}(R_1, \dots, R_k)$, such that

$$(M, R_1, \dots, R_k) \models \varphi \text{ iff } (M, R_1, \dots, R_k) \in Q.$$

ALTERNATIVE NOTIONS OF INVARIANCE I

DEFINITION

A (global) quantifier Q is invariant under **preimages of surjections** if for every $h : M \rightarrow N$ surjection and for all $R \subseteq N^k$: $h^{-1}(R) \in Q_M$ iff $R \in Q_N$.

THEOREM (FEFERMAN)

Quantifiers of type $\langle 1, \dots, 1 \rangle$ are invariant under **preimages of surjections** iff they are definable in $\mathcal{L}_{\omega\omega}^-$.

FEFERMAN'S (OLD?) THESIS -99

A quantifier is a logical constant iff it can be defined (in typed λ -calculus) from equality and monadic quantifiers invariant under preimages of surjections.

INTRODUCTION

A MODEL THEORETIC APPROACH

RYLE (1954)

An operator (function/predicate) is a logical constant if it is **topic neutral**.

MAUTNER (1946); TARSKI (1986)

Logic is the study of the invariants under the most general transformations.

Compare with Klein's Erlangen program for classifying geometries in terms of invariance.

DEFINITION

A **local quantifier** on the domain M is a set of the form

$$Q_M = \{ (R_1, \dots, R_k) \mid (M, R_1, \dots, R_k) \in Q \}$$

for some generalized quantifier Q .

TARSKI'S THESIS

A (local) quantifier on a domain M is a logical constant iff it is invariant under all **permutations** of M .

MOSTOWSKI'S THESIS

A quantifier Q is a logical constant iff it is invariant under all **bijections** (across domains).

THEOREM (MCGEE (1996); KRASNER (1938))

Q is bijection invariant iff for each κ there is a formula in $\mathcal{L}_{\infty\omega}$ defining Q_κ .

ALTERNATIVE NOTIONS OF INVARIANCE II

$h : M \rightarrow N$ can be "lifted" by: $h(Q_M) = \{ h(R) \mid R \in Q_M \}$.

- ▶ Invariance under **surjections**: $h(Q_M) = Q_N$ for all surjective h .

THEOREM (CASANOVAS, 2007)

Quantifiers are invariant under surjections iff they are definable in a certain fragment of $\mathcal{L}_{\omega\omega}$.

- ▶ Invariance under **back-and-forth equivalence**: If (M, A) and (N, B) are back-and-forth equivalent, then $A \in Q_M$ iff $B \in Q_N$.

THEOREM (BARWISE, 1973)

A local quantifier Q on M is **back-and-forth invariant** iff Q is definable in $\mathcal{L}_{\omega\omega}$.

GALOIS CONNECTIONS

INVARIANCE

- **Klein's Erlangen Program:** Invariance as the defining property for geometries.
- **Tarski's thesis:** Extend to logics; use invariance as defining property for logics and logical operators. (Tarski, 1986)
- **Idea:** Extend the correspondence of invariance and operators to a (antitone) Galois connection: **Inv** maps invariance criteria to sets of operators, and **Aut** maps sets of operators to invariance criteria such that

$$q \subseteq \text{Inv}(G) \text{ iff } G \subseteq \text{Aut}(q).$$

- Also, we want $\text{Inv}(\text{Aut}(q))$ to correspond to definability in $\mathcal{L}(q)$ for some logic \mathcal{L} .

Krasner's Galois theory:

$$\{ G \subseteq \text{Sym}(\Omega) \} \text{ least group} \iff \{ M \text{ infinitary rel. structure on } \Omega \} \text{ definability in } \mathcal{L}_{\infty\infty}$$

Our results:

$$\{ G \subseteq \text{Sym}(\Omega) \} \text{ least group} \iff \{ q \text{ set of quantifiers on } \Omega \} \text{ definability in } \mathcal{L}_{\infty\infty}$$

$$\{ \Pi \text{ set of similarities on } \Omega \} \text{ least full monoid} \iff \{ q \text{ set of quantifiers on } \Omega \} \text{ } \sim \text{-definability in } \mathcal{L}_{\infty\infty}^-$$

MOTIVATION

- Galois connection results give stronger correspondences between logics and invariance criteria: They are **stable** under adding operations.

FEFERMAN'S THEOREM (FEFERMAN, 1999)
 Monadic quantifiers are invariant under **preimages of surjections** iff they are definable in $\mathcal{L}_{\omega\omega}^-$.

- Feferman leaves the general question for arbitrary quantifiers open.
- Our result on the equality-free version of $\mathcal{L}_{\infty\infty}$ is a variant on Feferman's theorem, generalized to a full Galois connection.

WITH EQUALITY

A GALOIS CONNECTION

- Fix a domain Ω . Quantifier means local quantifier on Ω .
- q is a set of quantifiers.
- G subgroup of the full symmetric group $\text{Sym}(\Omega)$.

DEFINITION

- Let $\text{Aut}(q)$ be the group of all permutations of Ω fixing all quantifiers in q :
 $\text{Aut}(q) = \{ g \in \text{Sym}(\Omega) \mid g(Q) = Q \text{ for all } Q \in q \}.$
- Let $\text{Inv}(G)$ be the set of quantifiers fixed by G :
 $\text{Inv}(G) = \{ Q \mid g(Q) = Q \text{ for all } g \in G \}.$

THEOREM (KRASNER, 1938, 1950), (B/E)

- $\text{Aut}(\text{Inv}(G)) = G$
- $\text{Inv}(\text{Aut}(q))$ is the set of quantifiers definable in $\mathcal{L}_{\infty\infty}(q)$

PROOF

Aut(Inv(G)) = G: Let \leq well-order Ω , and $Q = \{ g(\leq) \mid g \in G \}$ of type (2). If $h \in \text{Aut}(\text{Inv}(G))$ then $h(\leq) \in Q$ and so there is $g \in G$ such that $h(\leq) = g(\leq)$, implying $h = g$.

Inv(Aut(q)) is the set of Qs definable in $\mathcal{L}_{\infty\infty}(q)$: We assume all quantifiers of type (1) and $\Omega = \omega$.
 $Q' \in \text{Inv}(\text{Aut}(q))$ is defined by

$$\forall x_0, x_1, \dots \left[\bigwedge_{i \neq j} x_i \neq x_j \wedge \forall y \bigvee_i y = x_i \wedge \bigwedge_{Q \in q} \left(\left(\bigwedge_{A \in Q} Qy \bigvee_{i \in A} y = x_i \right) \wedge \left(\bigwedge_{A \notin Q} \neg Qy \bigvee_{i \in A} y = x_i \right) \right) \rightarrow \bigvee_{A \in Q'} \left(\bigwedge_{i \in A} Px_i \wedge \bigwedge_{i \notin A} \neg Px_i \right) \right]$$

THEOREM
 If $\text{Inv}_m(G)$ are all **monadic** quantifiers invariant under G then there is a subgroup G such that $\text{Aut}(\text{Inv}_m(G)) \supseteq G$.

Proof. Let G be the group of **piecewise monotone** permutations on ω : $g \in S_\omega$ is piecewise monotone if there exists partitions $A_1 \cup \dots \cup A_k = B_1 \cup \dots \cup B_k = \omega$ such that $g|_{A_i}$ is the unique increasing function $A_i \rightarrow B_i$.
 $\text{Aut}(\text{Inv}_m(G))$ is closed in the topology generated by

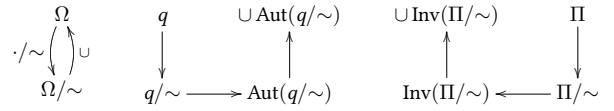
$$U_{\bar{A}, \bar{B}} = \{ h \in \text{Sym}(\omega) \mid h(A_i) = B_i \text{ all } i < k \}$$

as basic open sets, where $\bar{A} = A_0, \dots, A_{k-1}$ and $\bar{B} = B_0, \dots, B_{k-1}$ are subsets of ω .
 The closure of G is $\text{Sym}(\omega)$.

WITHOUT EQUALITY

PLAN

- ▶ We want a Galois connection in which the closure operator on sets of quantifiers is definability in $\mathcal{L}_{\infty\infty}^-$.
- ▶ **Idea:** Work in Ω/\sim , where \sim is the finest definable equivalence relation and apply the previous result.



- ▶ **Problem:** Can we define \sim without knowing the language?
- ▶ **Solution:** Yes... sometimes.

SIMILARITY RELATIONS

- ▶ π is a **similarity relation** on Ω if $\text{dom}(\pi) = \text{rng}(\pi) = \Omega$.
- ▶ Every surjection is a similarity relation.
- ▶ For every similarity π there are surjections $f: \Omega \rightarrow \Omega'$ such that $\pi = f \circ g^{-1}$.
- ▶ $R \pi S$ if $\forall \bar{a}, \bar{b} \in \Omega$ such that $\bar{a} \pi \bar{b}$: $\bar{a} \in R$ iff $\bar{b} \in S$.
- ▶ R is **invariant** under π if $R \pi R$.

INVARIANCE

Invariance for quantifiers is parametrized by an equivalence relation:

DEFINITION

A quantifier Q on Ω is **\sim -invariant** under π if for all relations $R_1, \dots, R_k, S_1, \dots, S_k$ on Ω **invariant under \sim** such that $R_i \pi S_i$ we have $\langle R_1, \dots, R_k \rangle \in Q$ iff $\langle S_1, \dots, S_k \rangle \in Q$.

THE MAPPINGS

- ▶ A set of operations q generates an equivalence relation \sim_q , the finest $\mathcal{L}_{\infty\infty}^-(q)$ -definable equivalence relation.
- ▶ Dually, a set of similarities Π gives us an equivalence relation by the following condition:
 $a \approx_{\Pi} b$ if for all $\bar{c} \in \Omega^k$ there is $\pi \in \Pi$ such that $a, \bar{c} \pi b, \bar{c}$.

The mappings for the Galois connection can now be defined:

- ▶ **Sim(q)** is the set of similarities π such that all relations and quantifiers in q are \sim_q -invariant under π .
- ▶ **Inv(Π)** is the set of all relations R and quantifiers Q on Ω which are \approx_{Π} -invariant under all similarities in Π .

FIRST HALF OF THE CORRESPONDENCE

Let the **blow-up** \hat{Q} of Q relative to \sim be $\{\hat{R} \mid R \in Q\}$, where

$$\hat{R} = \{ \langle a_1, \dots, a_k \rangle \mid \exists \langle b_1, \dots, b_k \rangle \in R, a_1 \sim b_1, \dots, a_k \sim b_k \}.$$

THEOREM

Let q be a set of operators then

1. $Q \in \text{Inv}(\text{Sim}(q))$ iff \hat{Q} is definable in $\mathcal{L}_{\infty\infty}^-(q)$.
2. $R \in \text{Inv}(\text{Sim}(q))$ iff R is definable in $\mathcal{L}_{\infty\infty}^-(q)$.

MORE DEFINITIONS

- ▶ Π is a **monoid with involution** if it is closed under composition and taking converses.
- ▶ Π is **full** if it includes \approx_{Π} , is a monoid with involution, and closed under taking subsimilarities, i.e., such that if $\pi \in \Pi$ and $\pi' \subseteq \pi$ is a similarity then $\pi' \in \Pi$.

LEMMA

- ▶ $\sim_q \approx_{\text{Sim}(q)}$ and
- ▶ If Π is full then $\sim_{\text{Inv}(\Pi)} \approx_{\Pi}$.

THEOREM

Let Π be a set of similarity relations, then $\text{Sim}(\text{Inv}(\Pi))$ is the smallest full monoid including Π .

SUMMARY

$$\begin{aligned} \{ G \subseteq \text{Sym}(\Omega) \} &\iff \{ q \text{ set of quantifiers on } \Omega \} \\ \text{least group} &\iff \text{definability in } \mathcal{L}_{\infty\infty}^- \\ \\ \{ \Pi \text{ set of similarities on } \Omega \} &\iff \{ q \text{ set of quantifiers on } \Omega \} \\ \text{least full monoid} &\iff \sim\text{-definability in } \mathcal{L}_{\infty\infty}^- \end{aligned}$$

THANK YOU FOR YOUR
ATTENTION.

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