

# Classification problems and models of arithmetic

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2010-10-08

# Introduction

- How hard is it to decide  $M \cong N$  for  $M, N \models T$ ?
- Finite  $M$  and  $N \Rightarrow$  coded as natural numbers  $\Rightarrow \cong$  relation on  $\omega$ .
- Models with domain  $\omega$  in a relational language  $L$  is a point in the logic space  $X_L$ :

## Definition

*For a relational language  $L$  the logic space is*

$$X_L = \prod_{R \in L} 2^{\omega^{a(R)}}.$$

- $\cong$  is an equivalence relation on  $X_L$ .

# Reductions

## Definition

*Given equivalence relations  $E, F$  on  $X, Y$  respectively we define  $E \leq Y$  iff there is a function  $f : X \rightarrow Y$  such that*

$$x E x' \text{ iff } f(x) F f(x').$$

Not very interesting:  $E \leq F$  iff  $|X/E| \leq |Y/F|$ .  
Need to put restrictions on the function  $f$ .

# Topology

## Definition

*Topology:  $(X, \tau)$  where  $\tau$  is the set of open subsets of  $X$ :*

- $\emptyset, X \in \tau$
- $U, V \in \tau$  then  $U \cap V \in \tau$
- $A \subseteq \tau$  then  $\bigcup A \in \tau$ .

## Definition

$X$  is **Polish** if separable and completely metrizable.

Examples:

- Countable spaces with the discrete topology.
- The Baire space  $\mathcal{N} = \omega^\omega$ .  $\{f \mid f(n) = k\}$
- The Cantor space  $\mathcal{C} = 2^\omega$ .  $\{f \mid f(n) = k\}$
- The logic space  $X_L$ .  $\{M \mid (\neg)R^M(\bar{a})\}$
- $\mathbb{R}^n$

# Borel sets

## Definition

Let  $X$  be a Polish space. A  **$\sigma$ -algebra** over  $X$  is a boolean algebra over  $X$  closed under countable unions. The least  $\sigma$ -algebra over  $X$  containing the open sets is the collection of **Borel sets**.

## Definition

$f : X \rightarrow Y$  is **continuous** if  $f^{-1}(U)$  is open for every open  $U$ .  $f$  is **Borel** if  $f^{-1}(U)$  is Borel for every open  $U$ .

## Theorem (Luzin-Suslin)

If  $f$  is Borel and 1-to-1 then  $f(A)$  is Borel for every Borel  $A$ .

# The standard Borel space

## Definition

$X \cong_B Y$  if there is a Borel bijection from  $X$  to  $Y$ .

## Theorem

If  $X$  and  $Y$  are Polish uncountable spaces then  $X \cong_B Y$ .

Thus, all uncountable Polish spaces give rise to the same Borel space: **the standard Borel space**. (Observe that any uncountable Polish space is of size  $2^{\aleph_0}$ .)

## Borel and language

### Theorem

*A set  $A \subseteq X_L$  is Borel iff there is an  $L_{\omega_1\omega}$ -formula  $\varphi$  with natural number parameters in the signature  $L$  such that*

$$M \models \varphi \text{ iff } M \in A.$$

### Theorem

*A set  $X \subseteq \mathcal{N}$  is Borel iff there is a  $\Sigma_1^1$ -formula  $\sigma$  and a  $\Pi_1^1$ -formula  $\pi$  with function parameters in the signature of arithmetic with one function symbol added such that*

$$\mathbb{N} \models \forall f (\sigma \leftrightarrow \pi) \text{ and } (\mathbb{N}, f) \models \sigma \text{ iff } f \in X.$$

Fact:  $WO \subseteq 2^{\omega^2}$  is not Borel.

# Borel reductions

## Definition

Let  $X, Y$  be Polish spaces and  $E, F$  equivalence relations on  $X, Y$  respectively.

- $E \leq_B F$  iff  $E \leq F$  by a Borel function  $f : X \rightarrow Y$ .

Examples of equivalence relations:

- $\text{id}(\omega)$
- $\text{id}(2^\omega)$
- $f E_0 g$  if  $f = g$  almost everywhere.
- $f =^+ g$  if  $\text{rg}(f) = \text{rg}(g)$ .
- $M \cong N$  over  $X_L$ .

$$\text{id}(\omega) <_B \text{id}(2^\omega) <_B E_0(\mathcal{C}) \equiv_B E_0(\mathcal{N}) <_B =^+ <_B \cong$$

# Smooth relations

## Definition

$E$  is **completely classifiable** or **smooth** if  $E \leq_B \text{id}(2^\omega)$ .

## Theorem (Silver)

If  $E$  is smooth then either  $E \equiv_B \text{id}(2^\omega)$  or  $E \leq_B \text{id}(\omega)$ .

## Theorem (Harrington-Kechris-Louveau)

If  $E$  is Borel and non-smooth then  $E_0 \leq_B E$ .

# Countable relations

## Definition

$E$  is said to be **essentially countable** if there is  $E'$  with only countable equivalence classes such that  $E \equiv_B E'$ .

## Theorem

There is a universal countable Borel relation  $E_\infty$ , i.e.,  $E$  is essentially countable iff  $E \leq_B E_\infty$ .

## Theorem

$$E_\infty <_B =^+$$

Not known if there exists  $E$  such that  $E_\infty <_B E <_B =^+$ .

# The isomorphism relation

Given  $\Theta$   $L_{\omega_1\omega}$ -sentence let  $\cong_{\Theta}$  be the isomorphism relation on the class of countable models of  $\Theta$ .

## Definition

$Mod(\Theta)$  is **Borel complete** if for any  $\Theta'$  in any  $L' \cong_{\Theta'} \leq_B \cong_{\Theta}$ .

Examples of Borel complete classes:

- The class of linear orders.
- The class of groups.
- The class of connected graphs.

Observe that the isomorphism relation on a Borel complete class is **not** Borel.

## Models of arithmetic

Let  $T$  be a completion of PA.

### Theorem

$\text{Mod}(T)$  is Borel complete.

### Theorem (Gaifman)

For linear orders  $I$  we can build a (Gaifman) model  $M_T(I) \models T$  such that  $I \cong J$  iff  $M_T(I) \cong M_T(J)$ .

Proof. Let  $p(x)$  be a minimal type over  $T$  and let  $M_T(I)$  be the Skolem closure of some sequence  $c_i, i \in I$  satisfying

$$\bigcup_{i \in I} p(c_i) \cup \bigcup_{i < j \in I} c_i < c_j.$$

$p(x)$  can be constructed arithmetically in  $T$ . Thus, for countable linear orders  $M_T(I)$  is arithmetical in  $T$  and  $I$ . Therefore Borel.

# Finitely generated models of arithmetic

$M, N$  finitely generated then  $M \cong N$  iff there are generators  $a, b$  of  $M, N$  respectively such that  $\text{tp}(a) = \text{tp}(b)$ .

## Theorem

*Every countable model  $M$  has a finitely generated (superminimal) elementary end-extension.*

## Theorem (Schmerl)

*The isomorphism relation  $\cong^{\text{fg}}$  of finitely generated models of  $T$  is*

- *Borel,*
- *essentially countable, and*
- *non-smooth.*

Conjecture:  $\cong^{\text{fg}} \equiv_B E_\infty$

# Recursively saturated models of arithmetic

## Theorem

*Countable recursively saturated models of arithmetic are precisely characterized by their standardsystem.*

There is a Borel function  $f : X_L \rightarrow (2^\omega)^\omega$  taking  $M$  to an ordered sequence of the standard system of  $M$ .

## Theorem

$$\cong_T^{rs} \equiv_B =^+.$$

$f$  above proves  $\cong_T^{rs} \leq_B =^+$ .

# Thanks