

DEPENDENCE LOGIC WITH GENERALIZED QUANTIFIERS: AXIOMATIZATIONS

WoLLIC 2013, DARMSTADT

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August 21, 2013

DEPENDENCE LOGIC

$$\forall x \exists y \forall z \exists w Rxyzw$$

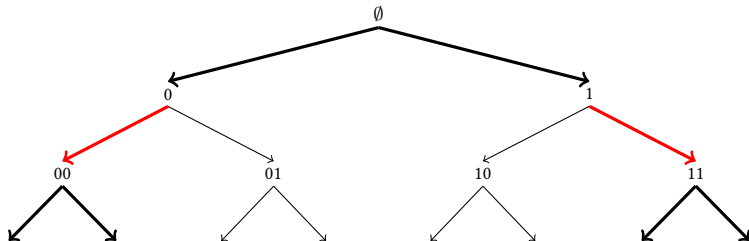
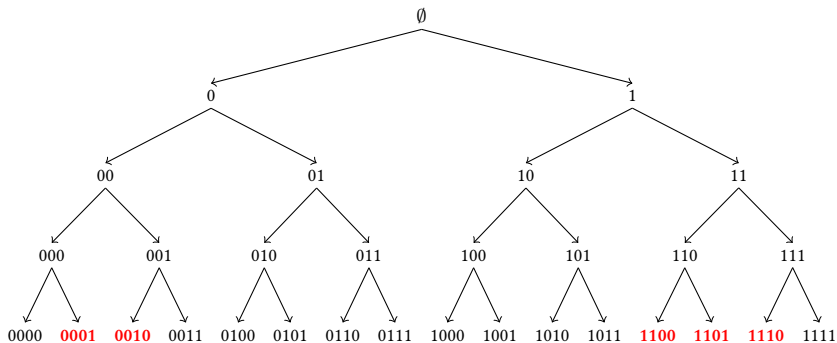
$$\forall z$$

$$\exists w$$

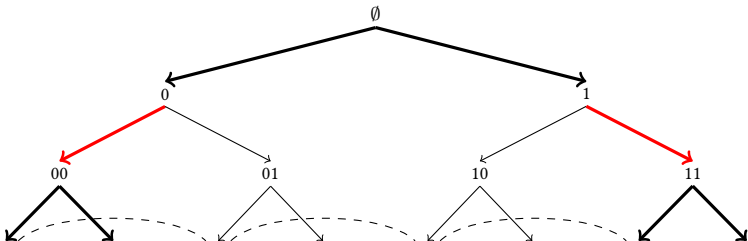
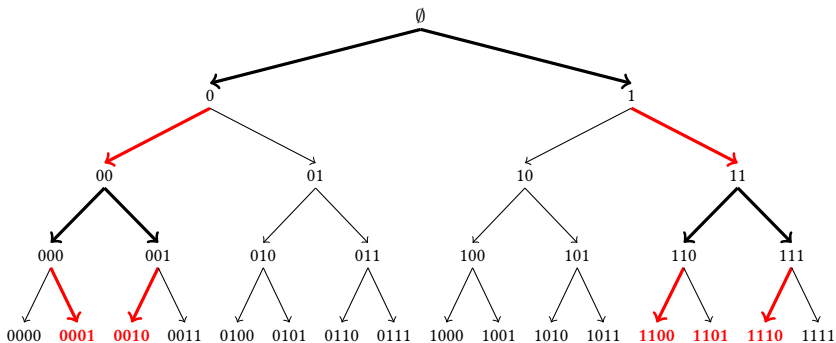
$$\left(\begin{array}{l} \forall x \exists y \\ \forall z \exists w \end{array} \right) Rxyzw$$

$$\begin{array}{cc} \forall z & \forall x \\ \downarrow & \downarrow \\ \exists w & \exists y \end{array}$$

DOMAIN $\{0, 1\}$. $\forall x \exists y \forall z \exists w Rxyzw$



DOMAIN $\{0, 1\}$. $\left(\begin{array}{l} \forall x \exists y \\ \forall z \exists w \end{array} \right) Rxyzw$



x	y	z	w	
0	0	0	1	$\not\models = (z, w)$
0	0	1	0	
1	1	0	0	
1	1	1	0	

x	y	z	w	
0	0	0	1	$\models = (z, w)$
0	0	1	0	
1	1	0	1	
1	1	1	0	

$$\left(\begin{array}{l} \forall x \exists y \\ \forall z \exists w \end{array} \right) Rxyzw \equiv \forall x \exists y \forall z \exists w (= (z, w) \wedge Rxyzw)$$

DEFINITION

X a **team** = set of assignments.

GENERALIZED QUANTIFIERS

- ▶ **Generalized quantifier:** Q a class of structures (one unary relation).
 - ▶ $M, s \models Qx\phi$ iff $(M, \phi^{M,s}) \in Q$.
 - ▶ $Q_M = \{ R \mid (M, R) \in Q \}$.
-
- ▶ $\text{FO}(Q)$ is FOL extended with expressions $Qx\phi$.
 - ▶ \exists and \forall can be interpreted as generalized quantifiers.
 - ▶ We will **only consider (non-trivial) monotone increasing quantifiers:** If $A \subseteq B$ and $A \in Q_M$ then $B \in Q_M$.
 - ▶ $\check{Q} = \{ (M, R^c) \mid (M, R) \notin Q \}$. $\neg Qx\neg\phi \equiv \check{Q}x\phi$.

DEPENDENCE LOGIC WITH Q

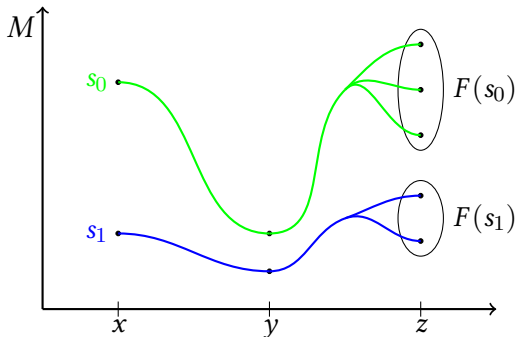
- ▶ $D(Q)$ is $\phi ::= \gamma \mid \phi \wedge \phi \mid \phi \vee \phi \mid \exists x \phi \mid \forall x \phi \mid Qx \phi$,
 γ an FO(Q) formula or dependence atom.
- ▶ $M \models \sigma$ iff $M, \{\emptyset\} \models \sigma$.
- ▶ $M, X \models \gamma$ if for all $s \in X$: $M, s \models \gamma$,
 γ an FO(Q) formula.
- ▶ $M, X \models \phi \wedge \psi$ iff $M, X \models \phi$ and $M, X \models \psi$.
- ▶ $M, X \models \phi \vee \psi$ iff there are $Y \cup Z = X$ such that $M, Y \models \phi$ and
 $M, Z \models \psi$.

QUANTIFIERS IN DEPENDENCE LOGIC

- ▶ $M, X \models Qx\phi$ iff there is $F: X \rightarrow Q_M$ such that $M, X[F/x] \models \phi$.

$$X[F/x] = \{ s[a/x] \mid s \in X, a \in F(s) \}.$$

Example: $M, \{s_0, s_1\} \models Qz Rxyz$



- ▶ Note: using set-valued F s corresponds to **non-deterministic** strategies.

PROPERTIES OF DEPENDENCE LOGIC

- ▶ $M, \emptyset \models \phi$
- ▶ **Downwards closure:** If $Y \subseteq X$ and $M, X \models \phi$ then $M, Y \models \phi$.
- ▶ $D(Q) \equiv \text{ESO}(Q)$ (E / Kontinen)
- ▶ Branching of generalized quantifiers (p.o. quantifier prefixes) may be expressed in $D(Q)$.

THEOREM: NORMAL FORM FOR $D(Q, \check{Q})$

Every $D(Q, \check{Q})$ formula is equivalent to one of the form:

$$\mathcal{H}^1 x_1 \dots \mathcal{H}^m x_m \exists y_1 \dots \exists y_n \left(\bigwedge_{1 \leq i \leq n} =(\bar{x}^i, y_i) \wedge \theta \right),$$

where \mathcal{H}^i is either Q , \check{Q} or \forall , and θ is a quantifier-free FO formula.

AXIOMATIZATIONS

DEPENDENCE LOGIC

- ▶ Dependence relations can be axiomatized (Armstrong).
- ▶ Dependence logic has the same strength as ESO.
- ▶ The relation $\Gamma \models \phi$ is not r.e.
- ▶ Restricting to ϕ 's without dependence atoms gives an r.e. entailment relation.
- ▶ An explicit axiomatization has been given by Kontinen and Väänänen.

IDEA:

- ▶ Construct a natural deduction system in which the normal form can be derived.
- ▶ Allow dependencies in normal forms to be replaced by **finite approximations**.
- ▶ Show that in enough models (recursively saturated) the set of finite approximations is equivalent to the original sentence.

AXIOMATIZING $D(Q, \check{Q})$ I: GENERAL RULES

First: Some rules sound for **any interpretation** of Q (monotone increasing).

- ▶ Standard rules for $\text{FO}(Q, \check{Q})$ formulas.
- ▶ Standard rules for conjunction, existential quantifier, and universal quantifier.
- ▶ Commutativity, associativity and monotonicity of disjunction.
- ▶ Monotonicity, extending scope, and renaming of bound variables for Q and \check{Q} .
- ▶ Duality of \check{Q} with respect to $\text{FO}(Q, \check{Q})$ formulas.

AXIOMATIZING $D(Q, \check{Q})$ II: DEPENDENCE RELATED RULES

- ▶ Unnesting:

$$\frac{=(t_1, \dots, t_n)}{\exists z(=(t_1, \dots, z, \dots, t_n) \wedge z = t_i)}$$

where z is a new variable.

- ▶ Dependence distribution:

$$\frac{\exists y_1 \dots \exists y_n (\bigwedge_{1 \leq j \leq n} =(z^j, y_j) \wedge \phi) \vee \exists y_{n+1} \dots \exists y_m (\bigwedge_{n+1 \leq j \leq m} =(z^j, y_j) \wedge \psi)}{\exists y_1 \dots \exists y_m (\bigwedge_{1 \leq j \leq m} =(z^j, y_j) \wedge (\phi \vee \psi))}$$

where ϕ and ψ are quantifier free FO formulas.

- ▶ Dependence introduction:

$$\frac{\exists x \mathcal{H} y \phi}{\mathcal{H} y \exists x (=(\bar{z}, x) \wedge \phi)}$$

where \bar{z} lists the variables in $FV(\phi) - \{x, y\}$ and $\mathcal{H} \in \{\forall, Q, \check{Q}\}$.

APPROXIMATIONS

Suppose σ is in normal form:

$$\mathcal{H}^1 x_1 \dots \mathcal{H}^m x_m \exists y_1 \dots \exists y_n \left(\bigwedge_{1 \leq i \leq n} =(\bar{x}^i, y_i) \wedge \theta(\bar{x}, \bar{y}) \right).$$

Let $A^k \sigma$ be

$$\forall \bar{x}_1 \exists \bar{y}_1 \dots \forall \bar{x}_k \exists \bar{y}_k \left(\bigwedge_{1 \leq j \leq k} R(\bar{x}_j) \rightarrow \bigwedge_{1 \leq j \leq k} \theta(\bar{x}_j, \bar{y}_j) \wedge \bigwedge_{\substack{1 \leq i \leq n \\ 1 \leq j, j' \leq k}} (\bar{x}_j^i = \bar{x}_{j'}^i \rightarrow y_{i,j} = y_{i,j'}) \right)$$

Let $B\sigma$ be

$$\mathcal{H}^1 x_1 \dots \mathcal{H}^m x_m R(x_1, \dots, x_m).$$

AXIOMATIZING $D(Q, \check{Q})$ III: THE APPROXIMATION RULE

$$\frac{\sigma \quad \begin{array}{c} [B\sigma] \quad [A^k \sigma] \\ \vdots \quad \vdots \end{array} \quad \psi}{\psi} \text{ (Approx)}$$

where σ is a sentence in normal form, and R does not appear in ψ nor in any uncanceled assumptions in the derivation of ψ , except for $B\sigma$ and $A^k \sigma$.

COMPLETENESS FOR WEAK SEMANTICS

Let $\Gamma \models_w \phi$ mean that $\Gamma \models \phi$ for any monotone increasing (non-trivial) interpretation of Q (and \check{Q} is interpreted as the dual of the interpretation of Q).

THEOREM

This system is sound and complete wrt $\Gamma \models_w \phi$ where ϕ is $\text{FO}(Q, \check{Q})$.

UNCOUNTABLY MANY

- ▶ $\text{FO}(Q_1)$ is axiomatizable, where Q_1 is “there exist uncountably many ...”. (Kiesler)
- ▶ Add Keisler’s rules for Q_1 .

Define the **Skolem translation** $S\sigma$ of σ in normal form to be:

$$\mathcal{H}^1 x_1 \dots \mathcal{H}^m x_m \theta(f_i(\bar{x}^i)/y_i).$$

- ▶ Replace the approximation rule with the following rule

$$\frac{\sigma \quad \begin{array}{c} [S\sigma] \\ \vdots \\ \psi \end{array}}{\psi} \text{ (Skolem)}$$

THEOREM

This system is sound and complete wrt $\Gamma \models \phi$ where ϕ is $\text{FO}(Q_1, \check{Q}_1)$.

CONCLUSION

Extending dependence logic with generalized quantifiers is a natural and **stable** extension.

- ▶ The satisfaction relation is naturally defined when moving to non-deterministic strategies.
- ▶ $D(Q)$ properly extends both $FO(Q)$ and D .
- ▶ $D(Q)$ is equivalent to $ESO(Q)$.
- ▶ $D(Q, \check{Q})$ has a prenex normal form theorem.
- ▶ Two completeness results:
 - ▶ First wrt to weak semantics.
 - ▶ Second wrt to Q_1 .
- ▶ Second result not fully satisfactory. Is it possible to get completeness for Q_1 using approximations instead of Skolem functions?

THANK YOU FOR YOUR
ATTENTION.

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